

Licensing space activities in the era of New Space

ESA-ECESL Space Debris Workshop

March 20th 2019

Toby Harris

Head of Orbital Systems

Contents

- **International Standards and Treaties**
- **UK Acts and Licensing**
- **Licensing process**
 - License authorisation and supervision
 - Licensing considerations
- **New Space**
 - Large constellations
 - New licensing challenges
 - Improving authorisation: New models and capabilities
 - Future licensing
- **Summary**

International treaties and standards

United Nations Committee on the Peaceful Uses of Outer Space (UNCOPUOS)

- **1967 UN Outer Space Treaty (OST):** basic legal framework of international space law (e.g. prohibits weapons of mass destruction in space, claiming celestial bodies etc..)
- **1972 UN Space Liability Convention (SLC):** expands on the liability rules created in the Outer Space Treaty
 - If an object was launched from a State's territory, facility, or if the State caused the launch to happen, then that State is **fully** liable for damages that result from that space object.
- **2007 UN Space Debris Mitigation Guidelines:** 7 Key recommendations to international community to limit pollution of the orbital environment

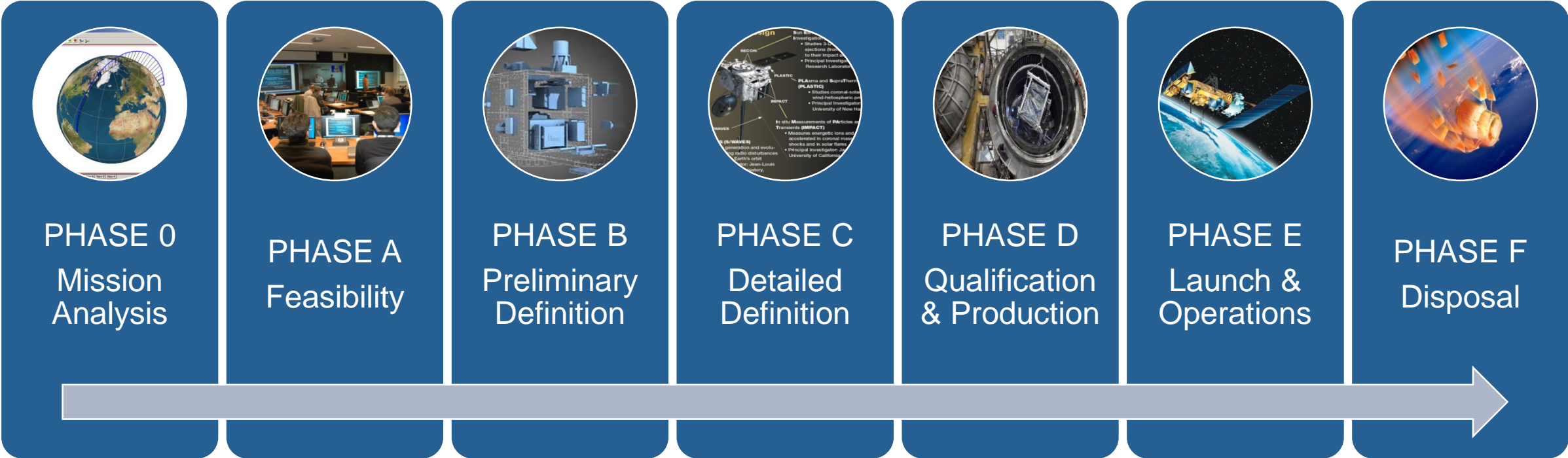
UK Acts and licensing

In the UK, these conventions are conveyed through:

- **1986 UK Outer Space Act (OSA):** Provides *current* UK framework to **license** launches for UK licensed payloads outside the UK, and UK operations in orbit.
- **2018 UK Space Industry Act (SIA):** *Will* provide the future framework to **license** launches *from* the UK (with or without UK payloads), and UK operations in orbit.
- **Licensing:** If an operator wants to operate from the UK, then UKSA can license subject to **insurance, financial** and **technical** criteria being met.

- The UK executive *licensing authority* is the **UK Space Agency**
- UKSA will not grant a licence to an operator unless the activities authorised by the licence will:
 - not jeopardise public health or the safety of persons or property
 - be consistent with the international obligations of the United Kingdom
 - not impair the national security of the United Kingdom
- Further the licensee must conduct their operations in such a way as to prevent
 - the contamination of outer space (**e.g. Debris**)
 - adverse changes in the environment of the Earth (**e.g. Debris**)
 - interference with activities of others in the peaceful exploration and use of outer space (**e.g. Debris**)
- **Its about getting the right balance between mitigating risk and enabling novel technologies.**

Licensing process



**OUTER SPACE
ACT LICENSING
PROCESS**

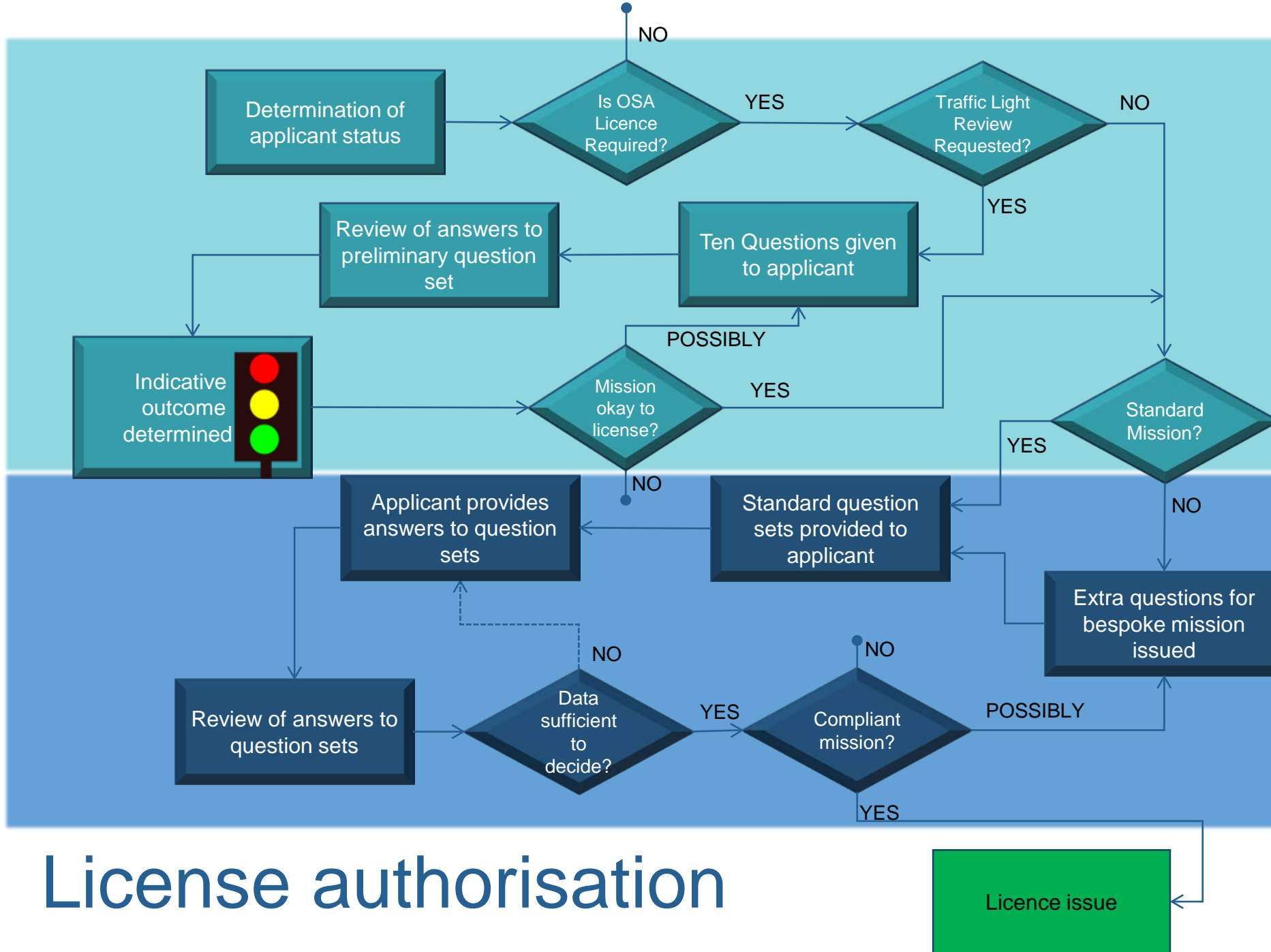


AUTHORISATION

SUPERVISION

Pre-application

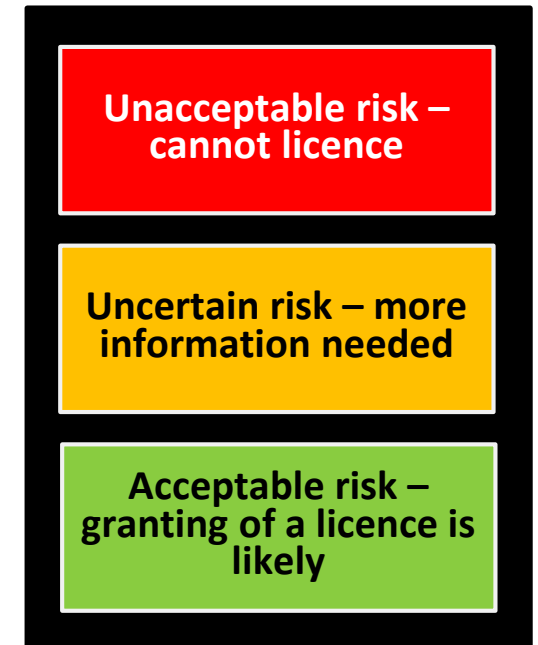
Application



License authorisation

Authorisation: Pre-application

- UKSA have recently introduced a ‘traffic light’ scheme as part of pre-application
- Greater transparency of technical assessment process
- Reduced process burden on operator/applicant
- Improved predictability of timescales/outcomes
- Promotion of safe, secure, sustainable access to space



Authorisation: Licensing considerations

WHO?

WHY?

WHEN?

HOW?

WHAT?

WHERE?

Authorisation: Licensing considerations

OPERATOR

- Mission responsibility
- Operator capability
- Operator experience
- Training
- Information sharing
- Emergency procedures

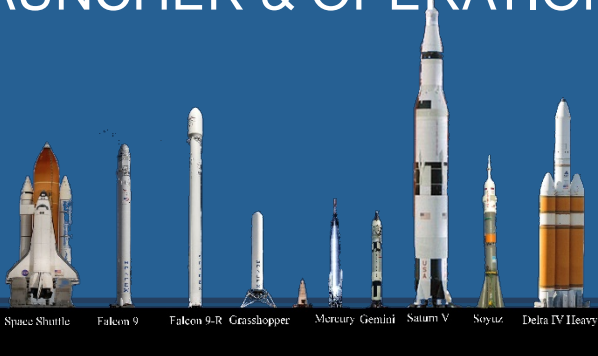
MISSION OBJECTIVES

- Technology demonstrator **Single satellite**
- Path-finder mission **Multiple satellites**
- Commercial service **Small / Medium / Large constellation**
- Follow-on mission **Rendezvous mission**
Proximity mission

MISSION PHASES

- PHASE: LEOP
- PHASE: OPERATIONAL ORBIT ESTABLISHMENT
- PHASE: DISPOSAL
- PHASE: CONSTELLATION ESTABLISHMENT
- PHASE: ORBIT MANOEUVRING
- PHASE: TARGET ACQUISITION AND ENGAGEMENT
- PHASE: IN-SERVICE OPERATIONS
- PHASE: TARGET UNDOCKING AND RETREAT

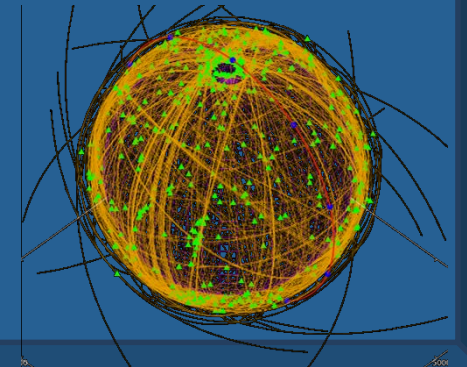
LAUNCHER & OPERATIONS



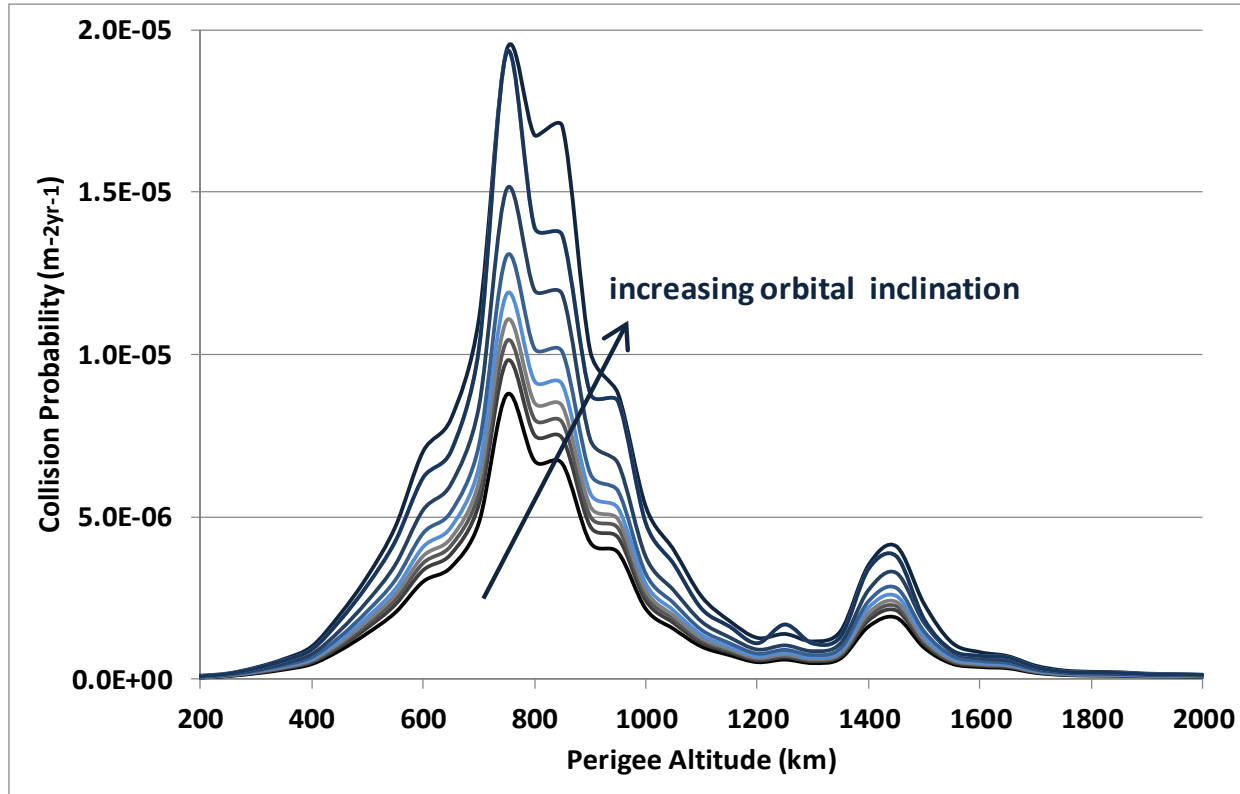
PLATFORM/PAYLOAD



ORBITS

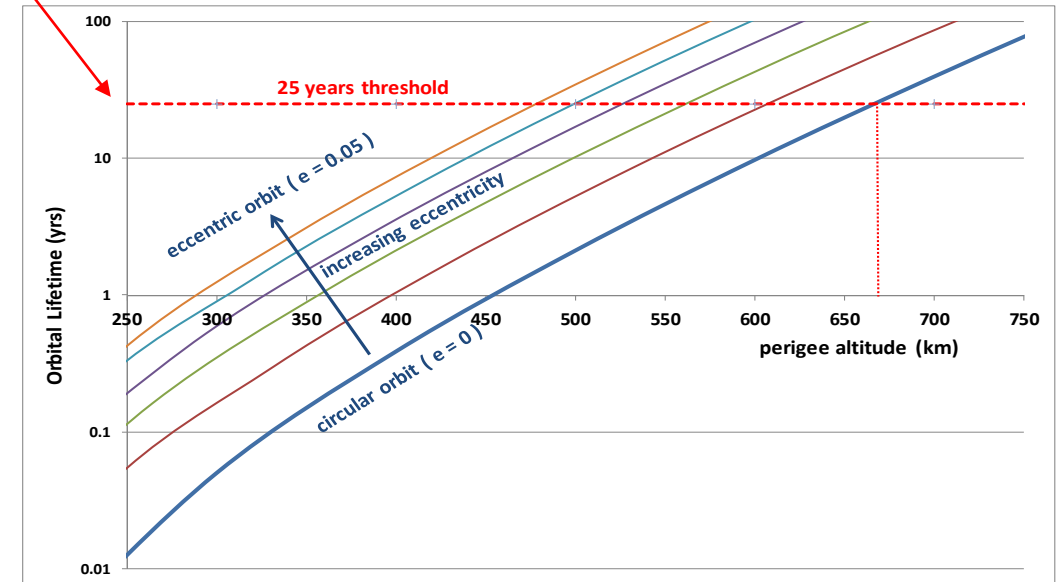


Standard mission example: debris collision risk



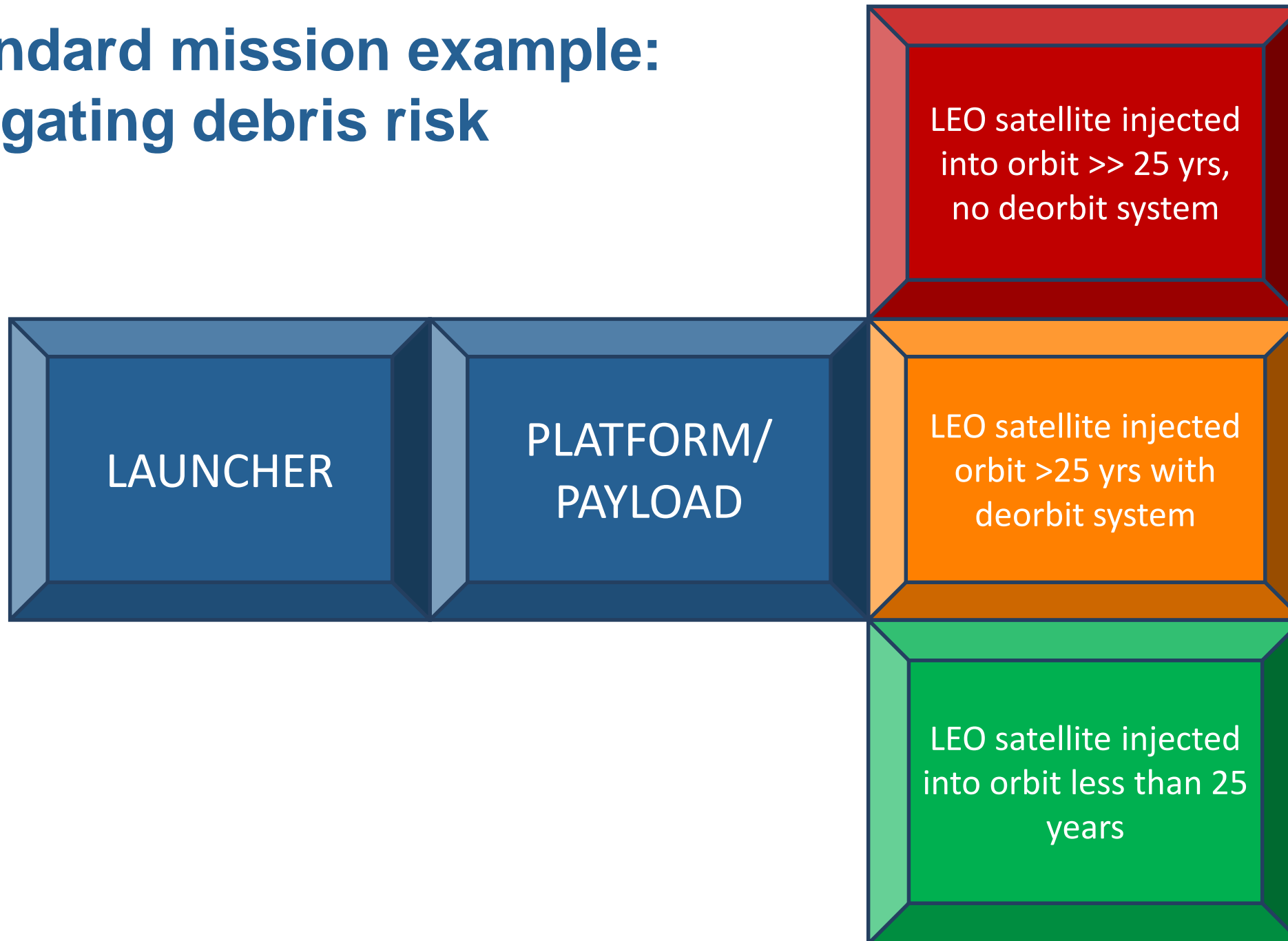
LEO collision probability with debris

25 year de-orbit time is an IADC guideline which UKSA uses as part of its licensing requirements

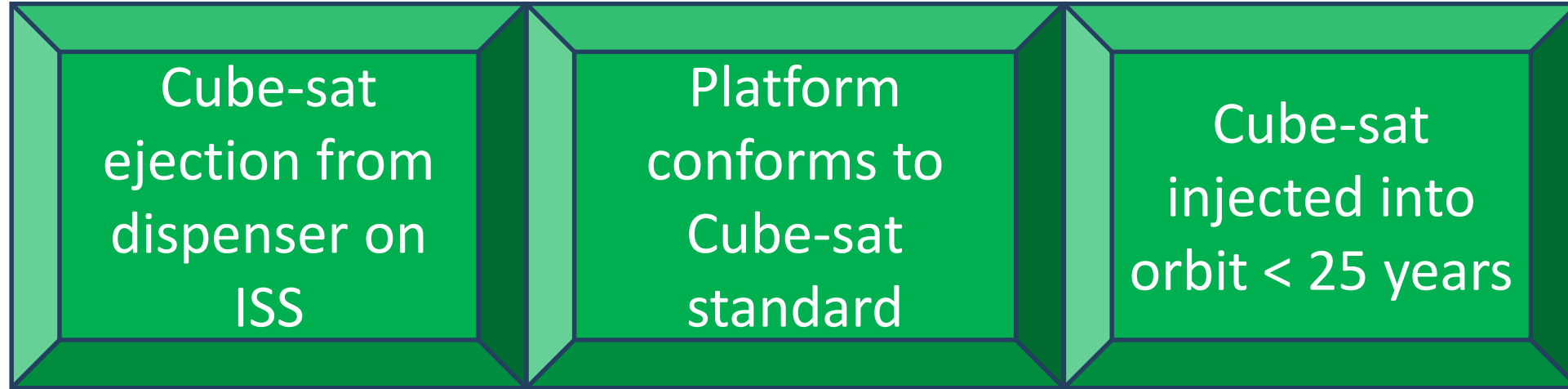


Re-entry time: Object lifetime with no station-keeping (post-mission)

Standard mission example: Mitigating debris risk



Standard mission example e.g. cube-sat



- Passivation of all launcher objects and vehicles once no longer required
- Construct cube-sat as to limit risk during re-entry (e.g. high probability of atmospheric demise)

License supervision

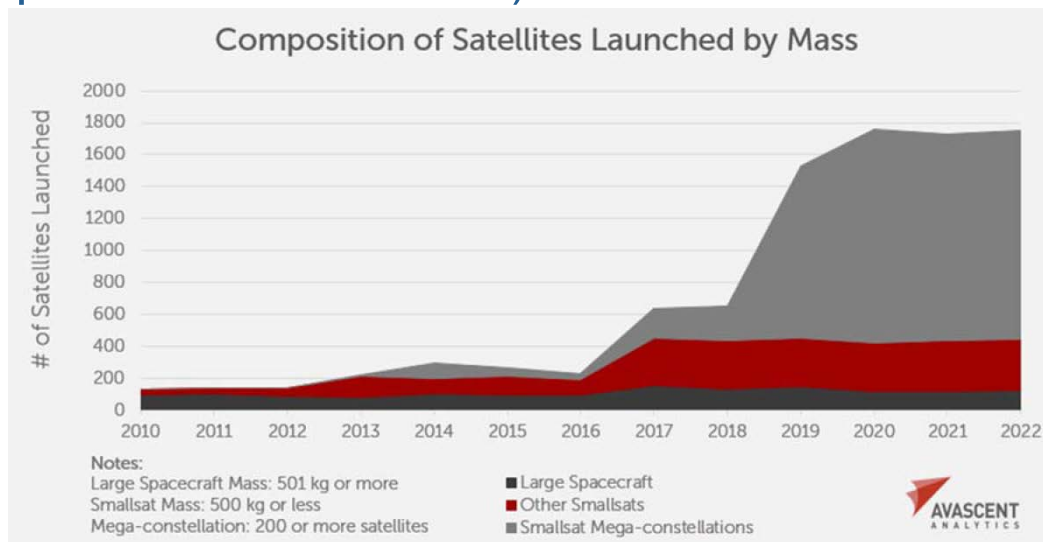
- Supervision focusses on
 - Compliance of in-orbit activities
 - Regular update on UK licensed objects
 - Regular verification of UK licensed objects (Space Surveillance and Tracking or SST)
 - Annual health-check
 - End-of-life activities: re-entry and grave-yarding operations

UKSA has been licensing missions for many years – what's changed?

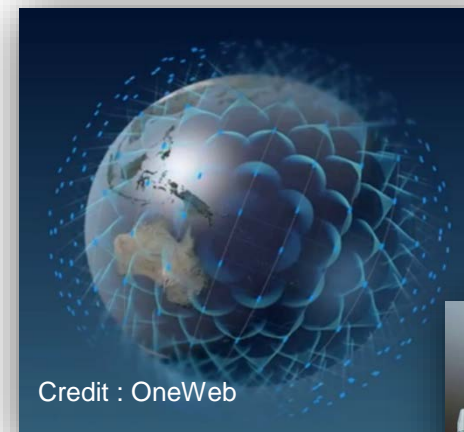
New Space

Novel and potentially disruptive technologies have begun to evolve as accessibility to space becomes cheaper and more widespread:

- **Large constellations** (aka ‘Mega-constellations’)
- **In-orbit servicing** (e.g. debris removal, satellite servicing, spacecraft refurbishment, re-fuelling, disposal and even platform construction).

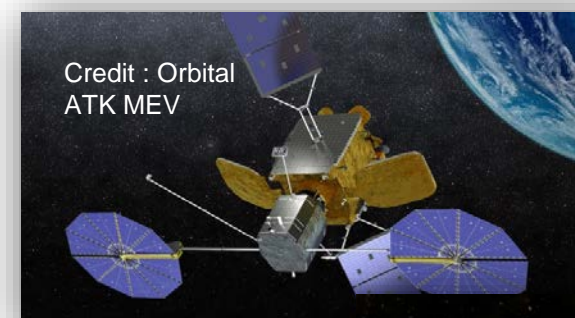


Left: Future in-orbit active population could grow enormously in the next 5 years (courtesy CSIS)



Left: OneWeb LEO mega-Constellation

Below: Soyuz launch requirements for OneWeb



Left: Orbital ATK satellite servicing mission

Below: RemoveDEBRIS space debris removal demonstrator



These new systems offer new challenges to long term space sustainability

Large constellations

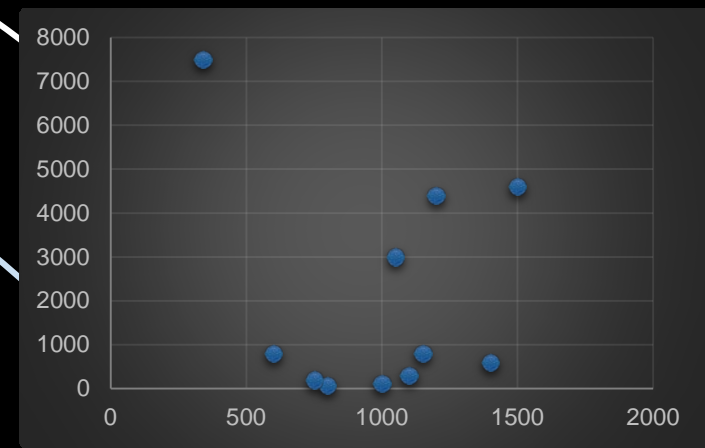
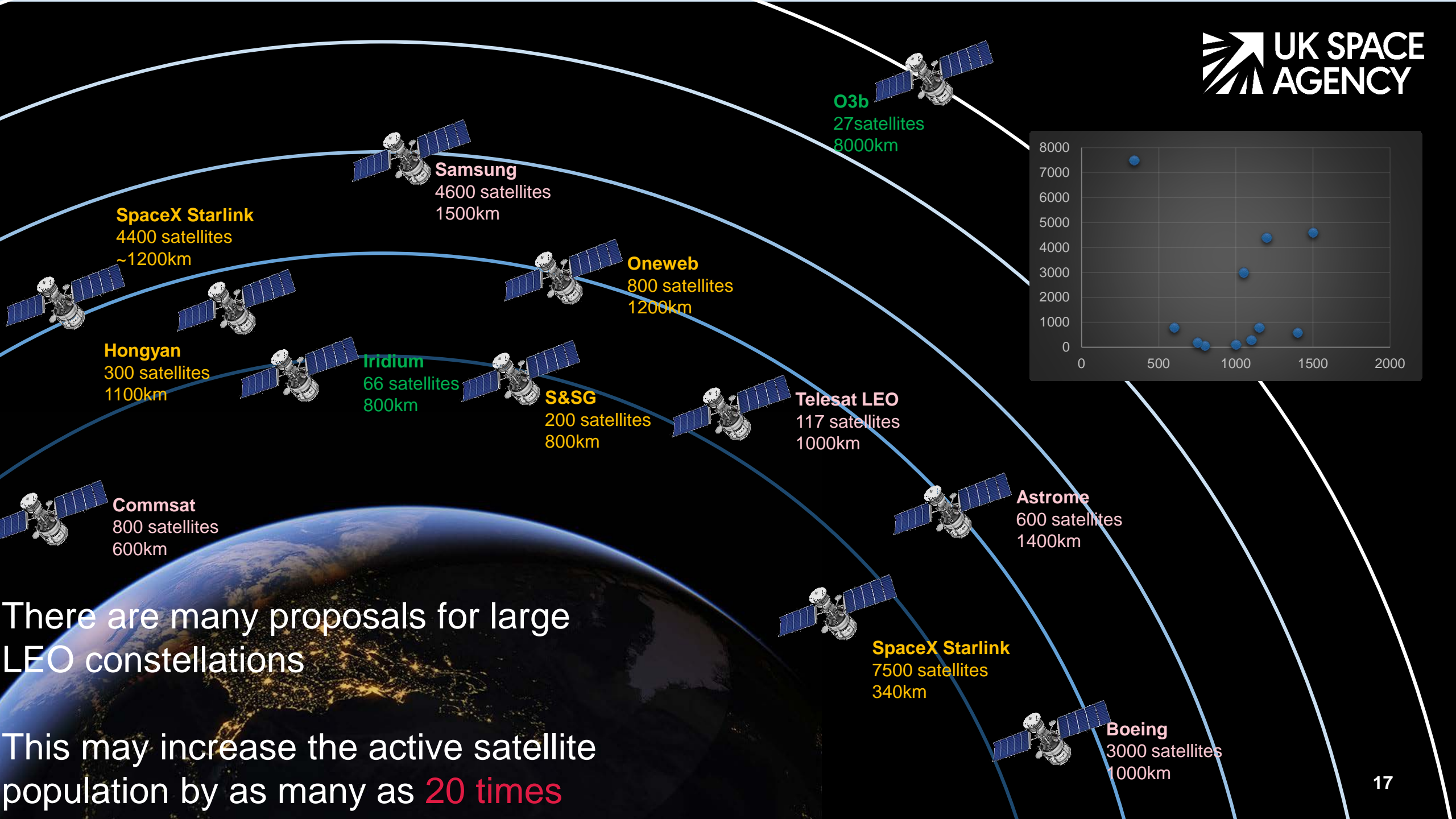
- Large constellations of satellites are a type of Non-Geostationary Satellite Orbit (NGSO) system.
- As they are based in LEO they have lower latency, making them ideal for broadband communications
- However, to ensure coverage, you need a **lot of satellites.**
- This means that
 - there is potential for lots of failed satellites and hence increased collision risk
 - the burden of managing the satellites is could be significant, and so conjunction risk could be higher
 - Satellites will need to be replenished regularly



LEO
<50ms

MEO
>135ms

GEO
>560ms



There are many proposals for large LEO constellations

This may increase the active satellite population by as many as **20 times**

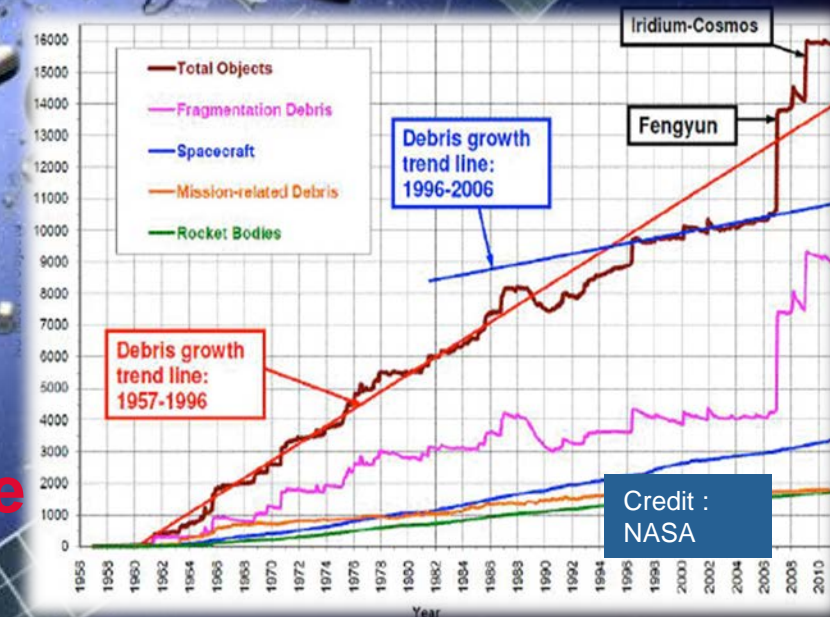
Understanding the risks

- Large Constellations present many questions on best practice:
 - Is '25 year rule' good enough?
 - What is a necessary and sufficient PMD or reliability?
 - When is orbital 'carrying capacity' reached?
 - How should the CONOPS of these missions be assessed/regulated?

Below: Illustration of the growing issue of space debris in orbit

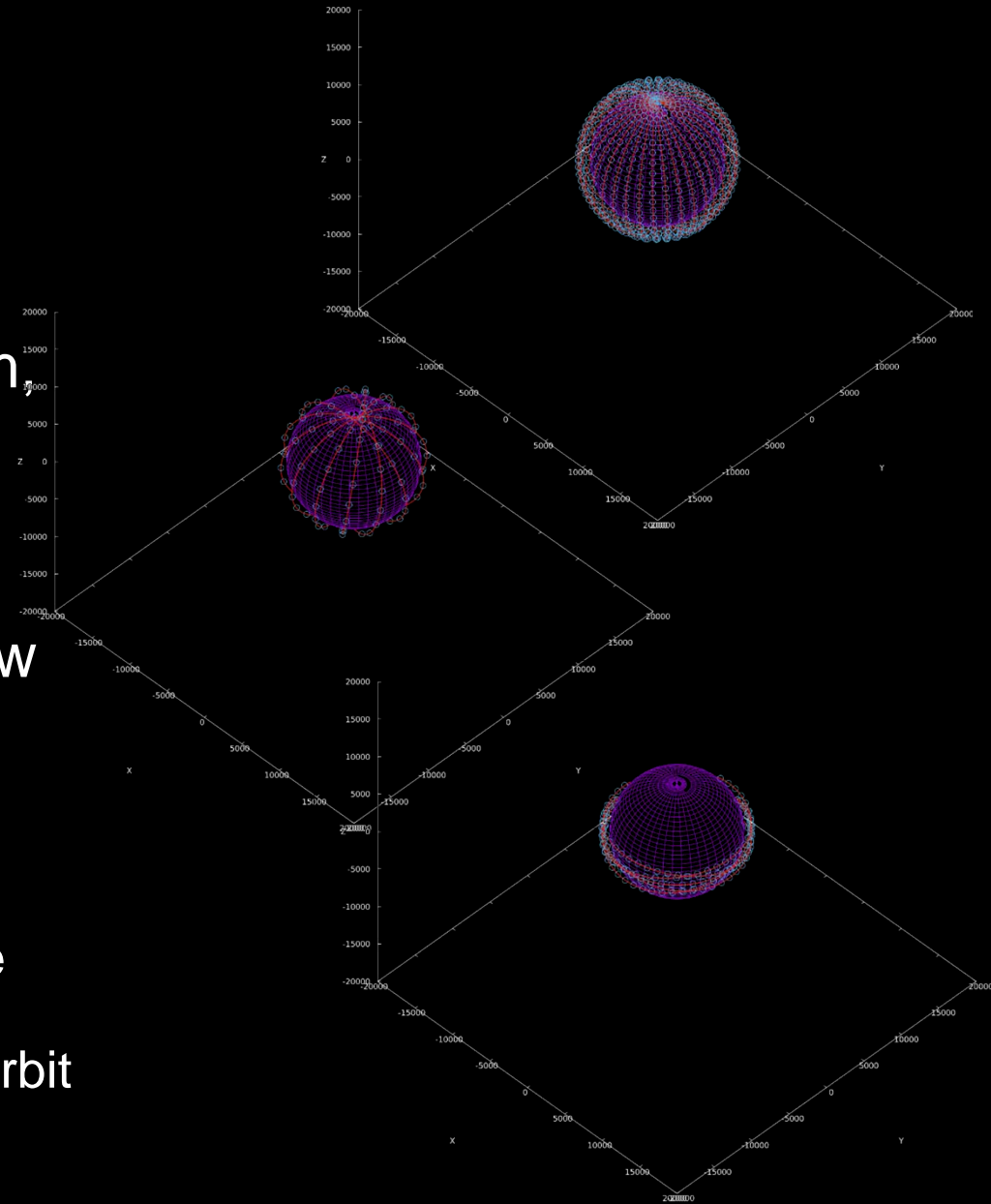
- Rendezvous and Proximity Operation (RPO) and formation missions present similar questions
- These issues are explored through international forums such as the IADC

- **UKSA needs to be able to understand and assess the risks of long-term impact of licensing new missions.**



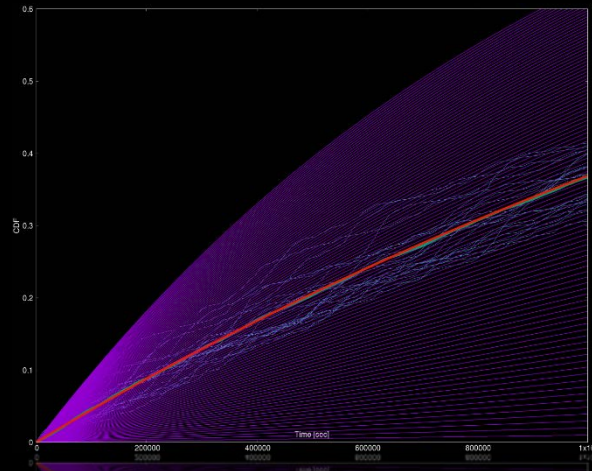
Improving authorisation: new capabilities

- To authorise future licenses, UKSA is significantly uplifting our capability to perform technical assessments.
- We are recruiting and training a new technical team, consisting of engineers, physicists and operations experts
- We are developing in-house expertise to create new predictive Orbital Environment models to
 - Determine *likelihood* of close encounters between spacecraft
 - Determine collision *likelihood* of failed spacecraft
 - Understand the *impact* of a collision fragmentation on the environment
 - Understand the potential financial *impact* of an event in orbit



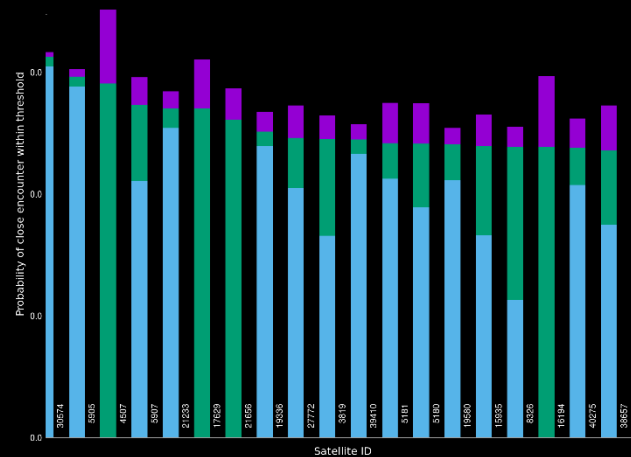
Improving authorisation: new capabilities

Orbital Risk Assessment Capability (ORAC) library

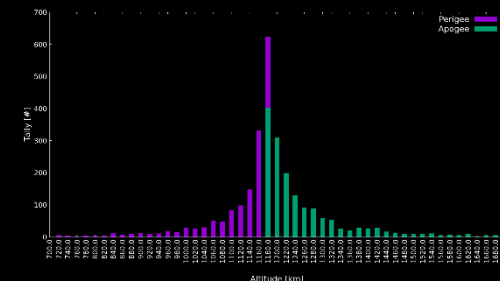
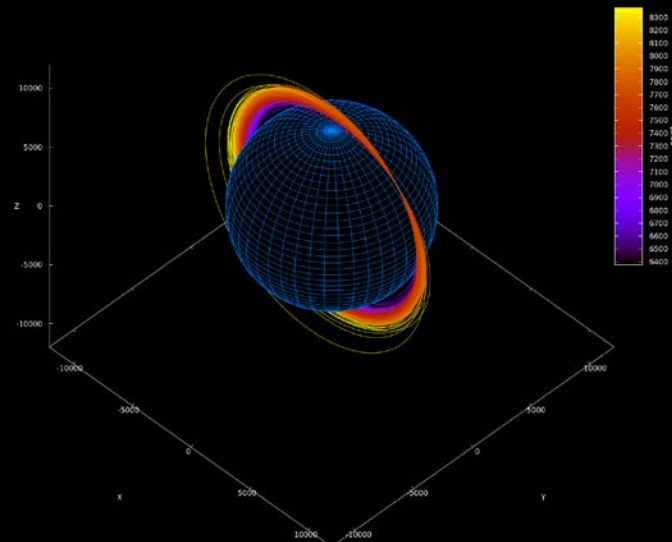


Above: Close encounter and conjunction frequency assessment

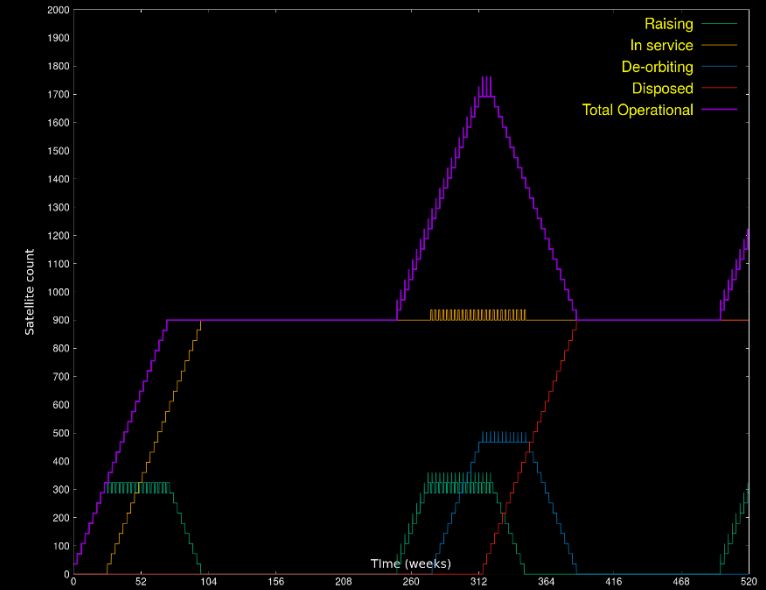
Below: High frequency conjunction partners used to inform financial risk models



Below: Large constellation simulation of an inter-plane polar collision and subsequent fragmentation

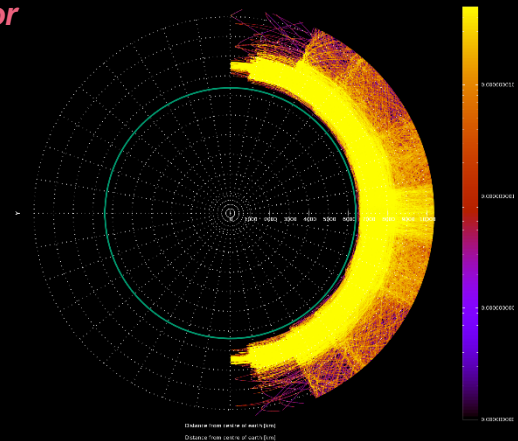


Above: Fragmentation distribution following an in-orbit collision

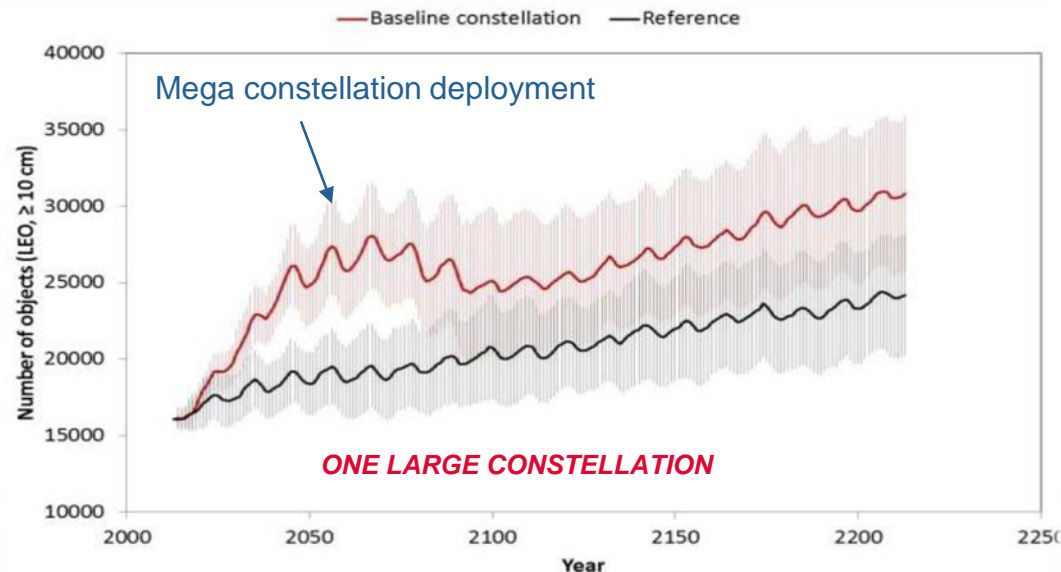


Above: Orbital traffic simulator

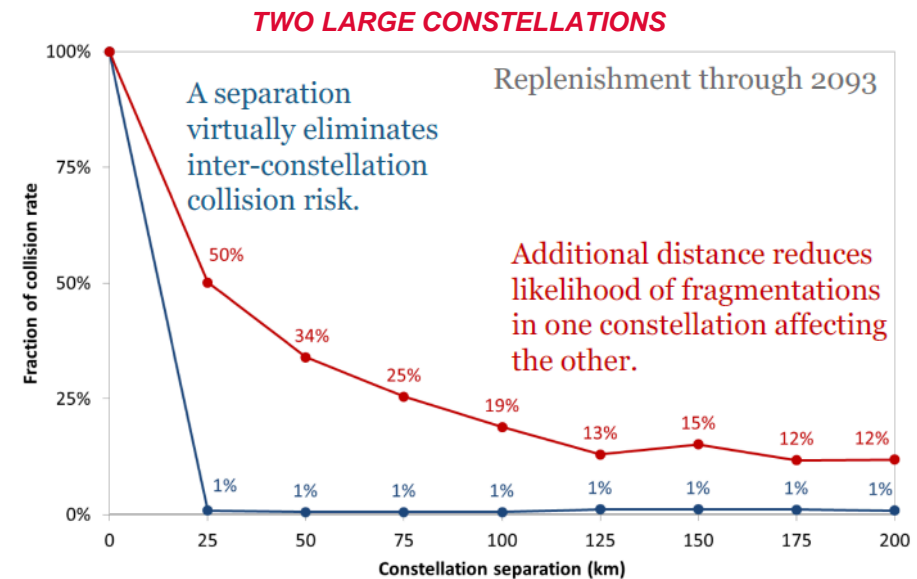
Right: Continuum based object density model



- UKSA are working closely with external collaborators
- For example, the University of Southampton have provided support using their evolution model, **DAMAGE**.
- Models such as this allow us to study the sensitivity of different constraints (e.g. PMD rate or satellite lifetime) on what the orbital environment could look like.
- This helps provide **evidence for best practice**



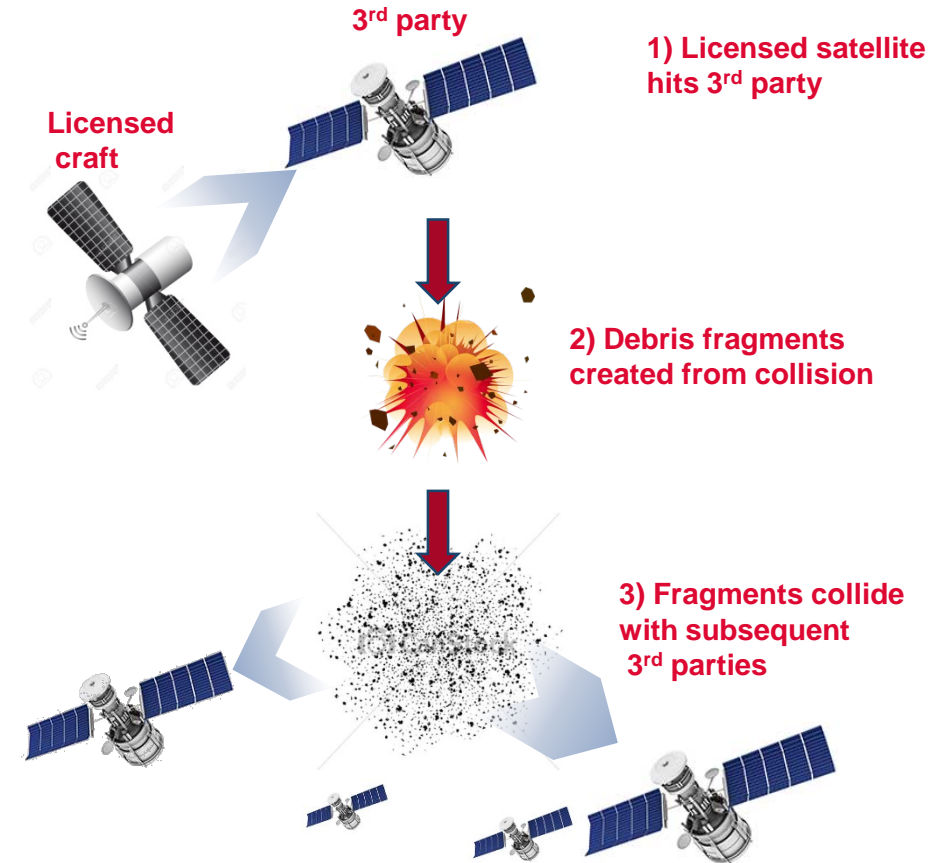
Above: Future orbital debris prediction with and without the deployment of a large constellation (graph courtesy Prof. Hugh Lewis)



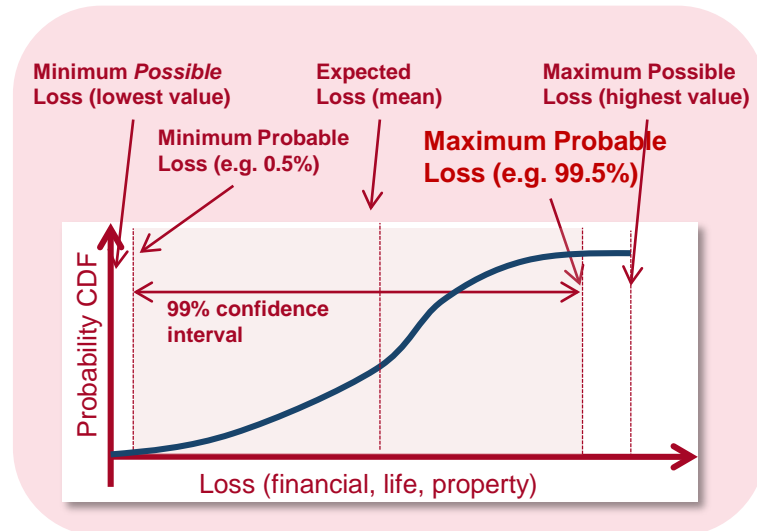
Above: Illustration of collision rate sensitivity to constellation separation distance (graph courtesy Prof. Hugh Lewis)

Quantitatively assessing liability

- As well as understanding environmental risk, we are developing models to assess financial risk
- These models will use output from our evolutionary collision risk models along with financial data about the orbital environment to provide quantitative risk estimates
- This will inform metrics such as maximum probably loss (MPL) and third party liability insurance requirements (TPL)



Above: An illustration of a potential liability claim through multiple collision events



Right: Example Maximum Probable Loss (MPL) model

Future licensing

- UKSA are currently reforming our regulatory environment and licensing approach
- How we license future missions requires evolution in how we **authorise** and **supervise**
- **Authorisation** demands a better understanding of the risks of these new missions
- **Supervision** of activities requires
 - Better objective capabilities to assess operational compliance (for example, enhanced Space Surveillance and Tracking)
 - Movement towards a partnership between UKSA and licensed operators

Summary

- UKSA currently licenses space-systems guided by principles of UN treaties and international best practice.
- Novel and disruptive technologies are now offering new technical challenges which could adversely impact the orbital environment.
- Understanding the risks of these new systems is essential in helping define international best practice, and limit adverse affects on the orbital environment.
- UKSA are developing in-house technical capability, supported by strong collaboration with academia and International Space Agencies.
- Our goal is to make evidence based decisions to ensure a sustainable future space environment for everyone.



Questions?